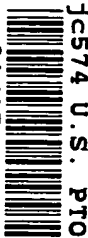


01/15/98



JCS74 U.S. PTO

Please type a plus sign (+) inside this box → ☒

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/05 (12/97)
Approved for use through 09/30/00. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

93-C-032C3

Total Pages

25

First Named Inventor or Application Identifier

Alex Kalnitsky

Express Mail Label No.

EM044795993US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☐ Specification [Total Pages **20**]
(preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) [Total Sheets **4**]
4. Oath or Declaration [Total Pages **4**]
a. ☐ Newly executed (original or copy)
b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
(Note Box 5 below)
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☒ Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
a. ☐ Computer Readable Copy
b. ☐ Paper Copy (identical to computer copy)
c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement [] Power of Attorney (when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 [] Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
14. ☐ Small Entity [] Statement filed in prior application, Status still proper and desired
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Other: Copy of extension of time petition in parent application

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: 08 / 456,343**18. CORRESPONDENCE ADDRESS**☐ Customer Number or Bar Code Labelor ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

NAME

Lisa K. Jorgenson

SGS-Thomson Microelectronics, Inc.

ADDRESS

1310 Electronics Drive

M/S 2346

CITY

Carrollton

STATE

Texas

ZIP CODE

75006-5039

COUNTRY

USA

TELEPHONE

(972) 466-7414

FAX

(972) 466-7044

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL

Note: Effective October 1, 1997.
Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 916

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Alex Kalnitsky
Group Art Unit	2503
Examiner Name	S. Meier
Attorney Docket Number	93-C-032C3

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number
Deposit Account Name

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

2. ☒ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 790	201 395	Utility filing fee	790
106 330	206 165	Design filing fee	
107 540	207 270	Plant filing fee	
108 790	208 395	Reissue filing fee	
114 150	214 75	Provisional filing fee	
SUBTOTAL (1)			(\$) 790

2. CLAIMS

Total Claims	Extra	Fee from below	Fee Paid
22 - 20 = 2	X	22	44
4 - 3 = 1	X	82	82
Multiple Dependent Claims	X	270	0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	
103 22	203 11	Claims in excess of 20	
102 82	202 41	Independent claims in excess of 3	
104 270	204 135	Multiple dependent claim	
109 82	209 41	Reissue independent claims over original patent	
110 22	210 11	Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)			(\$) 126

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet.	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 950	217 475	Extension for reply within third month	
118 1,510	218 755	Extension for reply within fourth month	
128 2,060	228 1,030	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,320	241 660	Petition to revive - unintentional	
142 1,320	242 660	Utility issue fee (or reissue)	
143 450	243 225	Design issue fee	
144 670	244 335	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 790	246 395	Filing a submission after final rejection (37 CFR 1.129(a))	
149 790	249 395	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify) _____			
Other fee (specify) _____			
* Reduced by Basic Filing Fee Paid			
SUBTOTAL (3)			(\$)

SUBMITTED BY

Typed or Printed Name Richard A. Bachand

Signature

Richard A. Bachand

Date

1/15/98

Complete (if applicable)

Reg. Number 25,107

Deposit Account User ID

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

FILE COPY

PATENT APPLICATION
Atty. Docket No: 93-C-032C1
Express Mail no: EM044796000US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Applicant: Alexander Kalnitsky et al. Group Art Unit: 2503

Application No. 08/456,343

Examiner: S. Meier

Filed: June 1, 1995

For: ENHANCED PLANARIZATION
TECHNIQUE FOR AN INTEGRATED
CIRCUIT

Assistant Commissioner for Patents
Washington, D. C. 20231

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed is being deposited on the date shown below with the United States Postal Service in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231.

[] 37 CFR 1.8(a) as first class mail with sufficient postage

[XX] 37 CFR 1.10 "Express Mail Post Office to Addressee" Mailing Label No. EM044796000US

Signature: *Helen M. Schmidt* Printed Name: Helen M. Schmidt

Date: *January 14, 1996*

REQUEST FOR EXTENSION OF TIME

Dear Sir:

This is a request for an extension of time to respond to the outstanding the office action dated October 7, 1997. The extension of time and fee therefor is calculated as follows:

	Small Entity	Large Entity
<input checked="" type="checkbox"/> One month	\$ 55.00	\$ 110.00
<input type="checkbox"/> Two months	\$200.00	\$ 400.00
<input type="checkbox"/> Three months	\$475.00	\$ 950.00
<input type="checkbox"/> Four months	\$755.00	\$1510.00

A check in the amount of \$110.00 is enclosed.

Please charge any deficiency or credit any excess to deposit account number 08-2623.

Respectfully submitted,

Richard A. Bachand
Richard A. Bachand
Attorney for Assignee
Registration No. 25,107

Holland & Hart
P.O. Box 8749
Denver, Colorado 80201-8749
Tel: (719) 476-6463
FAX: (719) 634-2461
January 13, 1998

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Alexander Kalnitsky et al.) Group Art Unit:
)
Serial No.) Examiner:
)
Filed: HEREWITH)
)
For: ENHANCED PLANARIZATION)
TECHNIQUE FOR AN INTEGRATED CIRCUIT)

CERTIFICATE OF MAILING BY EXPRESS MAIL

Assistant Commissioner
for Patents
Washington, D.C. 20231

Sir:

The undersigned hereby certifies that the accompanying UTILITY
PATENT APPLICATION TRANSMITTAL, FEE TRANSMITTAL, PRELIMINARY
AMENDMENT, This Certificate of Mailing by Express Mail, and Return
Card, all relating to the above application, were deposited as
"Express Mail", Mailing Label No. EM044795993US, with the United
States Postal Service, addressed to The Assistant Commissioner for
Patents, Washington, D.C. 20231 on January 15, 1998

Date

Mailer

Date

Richard A. Bachand

Registration No. 25,107

ATTORNEY FOR APPLICANT

Holland & Hart LLP

Post Office Box 8749

555 Seventeenth Street, Suite 3200

Denver, Colorado

(303) 295-8563